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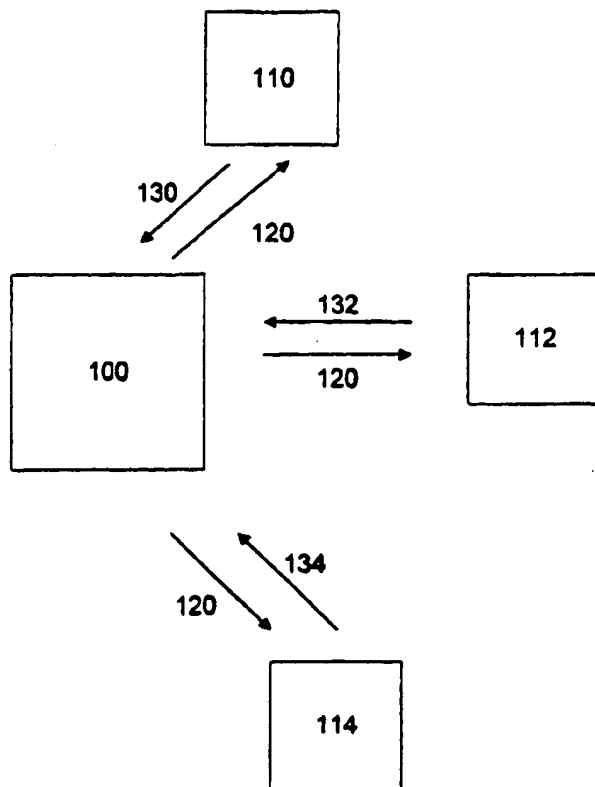
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- (71) Applicant (for all designated States except US): **VYYO, LTD.** [US/US]; 8th Floor, 20400 Stevens Creek Boulevard, Cupertino, CA 95014 (US).
- (72) Inventors; and  
(75) Inventors/Applicants (for US only): **SHAHAR, Menashe** [IL/IL]; Kirati 16, Ramat-Gan (IL). **JONAS, Amnon** [IL/IL]; Byalik 7A, Jerusalem (IL).
- (74) Agent: **CARPENTER, John, W.**; Crosby, Heafey, Roach & May, Suite 2000, Two Embarcadero Center, San Francisco, CA 94111 (US).
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(54) Title: **PROGRAMMABLE PHY FOR BROADBAND WIRELESS ACCESS SYSTEMS**



(57) Abstract: A system and method for communication between a wireless modem (110, 112, or 114) and wireless hub (100) on a selected downstream channel of a plurality of downstream channels (120) is provided. The selected downstream channel is selected by the wireless modem after receiving communication parameters for each of the downstream channels and selecting the selected downstream channel by determining the most efficient of the downstream channels at that time for downstream communication.

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<b>B. FIELDS SEARCHED</b>		
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<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,272,700 A (HANSEN et al) 21 DECEMBER 1993, col. 3, lines 23-32 and col. 4, lines 16-57.	1-28
A	US 5,557,612 A (BINGHAM) 17 SEPTEMBER 1996, col. 5, lines 22-31 and col. 7, lines 40-45 and 62-67.	1-28
A	US 5,809,427 A (PERREAULT et al) 15 SEPTEMBER 1998, col. 9, lines 1-34 and col. 12, line 17 to col. 13, line 5.	1-28
A	US 5,818,825 A (CORRIGAN et al) 06 OCTOBER 1998, col. 3, lines 26-40.	1-28
A	US 5,862,451 A (GRAU et al) 19 JANUARY 1999, col. 2, line 65 to col. 3, line 20.	1-28
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
*A*	document defining the general state of the art which is not considered to be of particular relevance	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*E*	earlier document published on or after the international filing date	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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## **PROGRAMMABLE PHY FOR BROADBAND WIRELESS ACCESS SYSTEMS**

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### **BACKGROUND OF THE INVENTION**

#### **Field of Invention**

The present invention relates to broadband wireless access systems and, among other things, to a programmable PHY for use in broadband wireless access systems.

#### **Discussion of Background**

Point to multi-point fixed broadband wireless access systems over MMDS networks are known in broadcast situations. These networks operate over licensed bands including the MMDS band (2,150 to 2,162 MHz), the WCS band  
20 (2,305 to 2,311 MHz) and the ITFS/MMDS bands (2,500 to 2,686 MHz).

A known wireless broadband access system, which operates at a range of between 50 MHz and 864 MHz, but not in the MMDS, WCS, or ITFS/MMDS bands, is the data over cable specification system, which is specified in the data over cable system interface specifications (DOCSIS). An overview of a wireless  
25 DOCSIS system is depicted in Fig. 1. A CMTS 10 communicates with a wide area network 20, such as the Internet. The CMTS 10 can transmit signals from the wide area network 20 along a cable network 30 through cable modems 40 to CPE 50. CPE 50 messages can be transmitted to the wide area network 20 through the cable modem 40 along the cable network 30 to the CMTS 10.

In point to multi-point broadband wireless access systems one central end-point, e.g. the head-end, communicates through a bi-directional link or links with multiple end-points, e.g. the nodes. The number of nodes in communication varies in time and can be none, one or two or more at any specific time.

The link(s) between the head-end and the nodes are combined in one or more channels. The signal path from the central end-point to the nodes is referred to as downstream, while the signal path from the nodes to the central end-point is referred to as upstream.

A single upstream channel can be used to deliver information from a node to the head-end and downstream channels are used from the head-end to a node or a group of nodes. If a single upstream channel is used for communication from the nodes(s) to the central point, then only one end-point can send information on the single upstream channel at any one time.

Additionally, in such a system the diverse topological conditions of each user within the service area in terms of factors such as signal to noise, multi-path and fading makes it difficult to implement a flexible communication scheme that will enable per user optimization with respect to robustness and throughput.

## **SUMMARY OF THE INVENTION**

In one embodiment, the present invention provides for a system and method for communication between a wireless modem and wireless hub on a selected downstream channel of a plurality of downstream channels. The selected downstream channel is selected by the wireless modem after receiving communication parameters for each of the downstream channels and selecting the selected downstream channel by determining the most efficient of the downstream channels at that time for downstream communication.

In another embodiment, the present invention provides for a system and method for communication between a wireless modem and wireless hub on a selected one downstream channel of a plurality of downstream channels. The selected upstream channel is selected by the wireless modem after receiving

communication parameters for each of the upstream channels and selecting the selected upstream channel by determining the most efficient of the upstream channels at that time for upstream communication. It is also possible that this embodiment, is combined with the first embodiment such that the plurality of upstream channels are associated with the selected downstream channel.

The present invention may be embodied as a method for selecting a channel for communication between two wireless devices, comprising the steps of synchronizing a wireless modem with a wireless hub on a downstream channel of a plurality of downstream channels by synchronizing the symbol timing, forward error correction framing, and recognition of a synchronization message at the wireless modem, the channel of the plurality of downstream channels being transmitted from the wireless hub to a plurality of wireless modems including the wireless modem, receiving at the wireless modem on the downstream channel a message comprising information regarding the parameters for communicating over each of the plurality of downstream channels, determining a selected downstream channel of the plurality of downstream channels for communication with the wireless hub, and re-synchronizing the wireless modem with the wireless hub on the selected downstream channel of the plurality of downstream channels.

The present invention may also be embodied as a wireless communication system, comprising, a wireless hub configured to send downstream communications on at least one of a set of predefined downstream channels and receive upstream communications on at least one of a set of predefined upstream channels, at least one wireless modem configured to receive said downstream communications, and send said upstream communications, wherein said wireless modem comprises, an acquisition unit configured to acquire a predefined downstream channel transmitted by said hub and read DCD messages describing available downstream channels, a scanning unit configured to scan the available downstream channels, and a selection unit configured to select the best available downstream channel.

The present invention also includes A communication device, comprising, a hub configured to send downstream communications on at least one of a set of predefined downstream channels and receive upstream communications on at least one of a set of predefined upstream channels, wherein: said hub includes a DCD message generator that constructs at least one DCD message sent on said downstream channels, and said at least one DCD message defines all downstream channels utilized by said hub; and a modem unit for use in a communication system, comprising, a reception unit configured to receive downstream communications on a current downstream channel, and a downstream channel selection unit to read DCD messages received by said reception unit and select a best available channel as said current downstream channel from priorities contained in said DCD messages.

Each of the method, system, device, and modem may be conveniently implemented on a general purpose computer, or networked computers, and the results may be displayed on an output device connected to any of the general purpose, networked computers, or transmitted to a remote device for output or display.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

A more complete appreciation of the invention and many of the attendant advantages thereof will be readily obtained as the same becomes better understood by reference to the following detailed description when considered in connection with the accompanying drawings, wherein:

Fig. 1 is an overview of a known data over cable system;

Fig. 2 is a block diagram of a wireless hub communicating with a wireless modem in a broadband wireless access system according to a presently preferred embodiment of the present invention;

Fig. 3 is a flow chart illustrating acquisition of a downstream channel according to an embodiment of the present invention;

Fig. 4 is a flow chart illustrating changing of a downstream channel according to an embodiment of the present invention; and

Fig. 5 is a flow chart illustrating acquisition of an upstream channel according to an embodiment of the present invention.

#### **DESCRIPTION OF THE PREFERRED EMBODIMENTS**

5 Referring again to the drawings, wherein like reference numerals designate identical or corresponding parts, and more particularly to Fig. 2 thereof, there is illustrated, in the presently preferred embodiment, a single carrier modulation scheme is selected for both downstream communication 110 and upstream communication 120, between a wireless hub 130 and a wireless  
10 modem 140. The wireless modem 140 can be one of a plurality of wireless modems in communication with the wireless hub 130. The selection of a single carrier modulation scheme allows for the flexibility of multiple single carrier constellations, multiple channel bandwidth, multiple roll off factors, multiple symbol rates, multiple FEC schemes and multiple preambles (upstream only).  
15 Further, the wireless modem can be considered a customer premises indoor unit (CPE IDU) that communicates with a customer premises outdoor unit (CPE ODU), that includes the transmission and reception equipment for the customer device. The wireless hub also utilizes one or more outdoor and indoor units, each set of which can be referred to as a Wireless Modem Termination System  
20 (WMTS) or Base Station (BS).



The presently preferred parameters for the downstream channel are described in Table 1 below.

Constellation	Symbol rate	Channel BW	Roll off	FEC
64QAM	5.056941 Msps	6 MHz	.18	ITU-T J.83 Annex B
64QAM 16QAM QPSK	6.942 Msps	8 MHz	.15	ITU-T J.83 Annex A
64QAM 16QAM QPSK	5.304 Msps	6 MHz	.13	ITU-T J.83 Annex A/C
64QAM 16QAM QPSK	3.536 Msps	4Mz	.12 - .15	ITU-T J.83 Annex A/C
64QAM 16QAM QPSK	1.768 Msps	2 MHz	.12 - .15	ITU-T J.83 Annex A/C
64QAM 16QAM QPSK	1.326 Msps	1.5 MHz	.12 - .15	ITU-T J.83 Annex A/C
VSB				DVB-T
QPSK				DVB-S 7/8, 5/6, 3/4, 2/3, 1/2

Table 1.

- 5 In operation, it is preferred that a downstream demodulator in the wireless modems employ an equalizer with which can compensate a delay spread of more than 10  $\mu$ s @ 5Msps. The 10 $\mu$ s figure is calculated as a maximum delay

spread due to a number of factors such as multi-path. Although 10  $\mu$ s is preferred, the delay spread may typically range from 0 to 20  $\mu$ s

The presently preferred parameters for the upstream channel are described in the Table 2 below:

5

Constellation	Symbol Rate	Channel Width
QPSK, 16QAM	160 ksps	200 kHz
QPSK, 16QAM	320 ksps	400 kHz
QPSK, 16QAM	640 ksps	800 kHz
QPSK, 16QAM	1,280 ksps	1,600 kHz
QPSK, 16QAM	2,560 ksps	3,200 kHz

Table 2

Although Table 1 and 2 specify QAM and QPSK constellations, other constellations such as BPSK and other modulation types may be utilized in conjunction with the present invention without departing from its scope.

10

The presently preferred FEC employed in upstream transmission is a programmable Reed Solomon code as described in DOCSIS RFI document SP-RF1v1.1-I03-991105 chapters 4 & 5. DOCSIS RFI document SP-RF1v1.1-I03-991105, and the other DOCSIS specifications are incorporated herein by reference in their entirety as if fully set forth herein. While the presently preferred preamble is programmable as per DOCSIS RFI document SP-RF1v1.1-I06-001215 chapters 4 & 5, a long preamble is used for per-burst post equalization by the burst receiver.

15

The diverse propagation conditions of the users within the serving area call for the independent selection of an upstream and a downstream channel pair for each wireless modem, user, from a group of available channels. The selection of the channel pair is performed during initialization and is then preferably updated on a continuous basis.

20

The initialization procedure in general is based on the DOCSIS initialization procedure as described in RFI Specifications SP-RF1v1.1-I06-001215, chapter 9.2.

The following are additional functions that are preferred to be performed in addition to the RFI DOCSIS specification to facilitate the wireless channel selection:

A MAC domain is defined as a group of several downstream channels and several upstream channels. This will typically be the group of channels that can be used by the user population, the wireless modems, in communication with the particular wireless hub, within a serving area (e.g., a sector of an MMDS cell).

A sector refers to one location that serves an area, each channel serves only a certain geographic sector, using a directional antenna. For example, one antenna for a quadrant between north and east, a second antenna for the quadrant from east to south, a third antenna for the quadrant between south and west, and a fourth antenna for the quadrant between west and north (however, many different variations of sector size, including sectors of unequal area may also be utilized). The sectors may be numbered for identification (1 = N&E, 2 = E&S, 3 = S&W, and 4 = W&N, for example).

The several downstream and upstream channels of the MAC domain are typically:

1. Controlled by the same entity;
2. Modems and service Ids are unique; and
3. A modem uses downstream and upstream channels in the same MAC

domain.

Any new MAC messages defined below, which are not defined in any of the DOCSIS current standards, and MAC messages that have been changed and are DOCSIS non-complaint are presently preferred to be indicated by a new type number. For example, for the current version 1.1, of DOCSIS, all new or non-complaint MAC messages would have a type number of 129 and larger.

All downstream channels within the MAC domain will have transmitted on them a Bandwidth Allocation messages with respect to all the upstream channels within the group. These Bandwidth Allocation messages can be standard DOCSIS MAP messages or the MAP2D message described in

- 5 Provisional Patent Application, Serial No. 60/178,138, titled "Two-Dimensional Scheduling Scheme For A Broadband Wireless Access System," by the same inventors of this application.

All downstream channels within the MAC domain will further include a Downstream Channel Descriptor (DCD) messages. These DCD messages will be  
10 transmitted periodically to the wireless modems by the wireless hub. Once a wireless modem acquires a downstream channel (one of the downstream channels available within the MAC domain), the wireless modem will capture the DCD message with respect to all the downstream channels that belong to the MAC domain. The DCD message will contain the following information for each  
15 downstream channel: (1) IF frequency; (2) RF frequency; (3) Modulation type; (4) Symbol rate; (5) bandwidth; (6) roll off factor; (7) FEC Scheme; (8) Criteria for switching to another downstream channel; (9) priority information to select a downstream channel for communication for a newly initializing modem; and (10) priority information when switching to a new downstream channel for a modem  
20 already in communication with wireless hub.

A single DCD message describes channels that service the same sector. If a downstream channel can service more than one sector, it is included in all the respective DCD messages. The information on all the downstream channels for a sector is included in a single DCD messages or may be delivered in a separate  
25 DCD messages. An advantage to separate DCD messages is that smaller messages are more robust.

The WMTS generates DCD messages in the format shown in Table 3,

including all of the following parameters:

Bit	0	8	16	24	31
Mac Management					
Message Header					
Cell ID	Sector ID	Configuration Change Count	Reserved		
TLV-encoded information for the overall sector					
TLV-encoded information for A channel descriptor					
TLV-encoded information for The subsequent channel descriptors					

**Table 3- Downstream Channel Descriptor (DCD)**

- 5            There may be more than one channel descriptor in one DCD message. Each channel description (see Table 6) defines one channel. There is no difference between the formats of preceding and subsequent channel descriptions.

**MAC Management**    As defined in DOCSIS Type= 129.

**Message Header**

**Sector ID**            Identifier of the geographic sector, which can use these downstream channels (1 – 255). If sector information is not used, a single value should be indicated in all DCD messages.

**Cell ID**              Identifier of the Cell where this message is transmitted (1 – 255). If cell information is not being used, a single value should be indicated in all DCD messages.

**Configuration**        Incremented by one (modulo the field size) by the WMTS-  
**Change Count (8**      IDU, whenever any of the values of any of the  
**bits)**                    downstream channel descriptors change.

**Table 4- Downstream Channel Descriptor Descriptions**

All other parameters are presently preferred to be coded as Time Length Value (TLV) fields, as defined in DOCSIS RFI specification 6.2.1.3.2. The advantage of TLV fields for application of the present invention is the flexibility to allow different field combinations in the message being transmitted and the ability to upgrade by adding additional types while maintaining backward compatability. The type values used are defined in Table 5, for sector parameters, and Table 6, for downstream channel attributes. Sector-wide parameters (types 1-2 in Table 3) are presently preferred to be required to precede channel descriptors (type 3 below).

TLV (Time Length Value) parameters for the overall MAC domain downstream are described in Table 5 below:

<i>Name</i>	Type (1 byte)	Length (1 byte)	Value (Variable length)
Control channel	1	1	Downstream ID for the channel to be used as control channel.
Number of channels	2	1	Number of downstream channels that are available for this sector. This number may include channels that are defined in other DCD messages.
Channel Descriptor	3	Variable	May appear more than once. Described below. The length is the number of bytes in the overall object, including embedded TLV items.

**Table 5 – Sector TLV Parameters**

Channel descriptors are compound encoded TLVs that define the parameters, for each downstream channel. Within Table 6 each channel descriptor is an unordered list of attributes, encoded as TLV values:

Name	Type (1 byte)	Length (1 byte)	Value (Variable length)
Downstream Channel ID	1	1	The Identifier of the downstream channel to which this message refers.
RF Frequency	2	4	RF frequency in KHz
IF Frequency	3	4	IF frequency in KHz
Modulation type	4	1	0 = Adaptive, 1 = QPSK, 2 = 16QAM, 3 = QAM64, 4 = QAM256
Symbol rate	5	4	Symbol rate (bps)
Bandwidth	6	4	Bandwidth (Hz)
Roll off Factor	7	1	200 * Roll of factor
FEC scheme	8	1	1 = ITU-T J.83 Annex A/C 2 = ITU-T J.83 Annex B 3 = Adaptive
FER Threshold	9	4	Threshold of failed MPEG Frames. If the number of failed frames is more than this number, a downstream channel switching MUST be initiated as defined in 0. The counting of failed frames is initialized after each measurement period as defined in the FER measurement period TLV parameter.
Priority for a new CPE IDU	10	1	Priority to be used by a new modem – Lowest number is higher priority.
Priority for changing channel	11	1	Priority to be used if the current channel has poor conditions - Lowest number is higher priority.
Cell ID	12	1	The Identifier of the Cell which transmit the described downstream channel
FER measurement period	13	1	Time period for MPEG Frame Error Rate measurement (See FER Threshold TLV parameter). Time is given in seconds, in the range of 1-255 Sec.

Table 6 –Channel TLV Parameters

The priority value is determined by the BS IDU. The priority is presently preferred to be dynamically changed by the WMTS-IDU between DCD messages. Such changes may be used to balance the load between the channels. A different priority can be assigned for a new initializing modem or to a registered modem that has to switch channel due to poor RF conditions. The later priority may depend on the current channel, in such a way that different priorities will be assigned in DCD messages that are delivered on different channels.

The method to assign priority values to each channel is operator dependent. A presently preferred priority assignment method is to simply calculate the ratio of channel bandwidth to per user on each channel, where the number users used in the calculation is one plus the number of actual users. The highest priority is then preferably assigned to those channels that have the highest ratio, i.e. those with the largest bandwidth per user are assigned the highest priority. Another method to assign priorities would be to use fixed priorities, such as: Higher priority for a higher order modulation scheme; For the same modulation, higher priority for a higher symbol rate; For the same modulation and symbol rate, higher priority for a stronger FEC scheme.

Although other priorities schemes may be utilized, it is preferred that the priorities are assigned a numerical designation of priority. For example, when a modem initializes, it will try to use priority 1 channels. If this fails, the modem will attempt to initialize on priority 2 channels and so on. If a modem is already on a channel but there are too many errors, the modem will try to work on other channels in the same way, but based on the priorities for changing channels.

The MAC domain id, which herein is equivalent to the sector id of the modem, may be required in if the upstream sectorization is different from the downstream sectorization (e.g. omni downstream channel with sectorized upstream). The MAC domain id can be used in this case by the wireless modem to determine whether the respective downstream channel is applicable to its transmission capability. This determination is done a – priori with information



that is conveyed to the modem from a local terminal or remotely using a downstream control channel.

The modem will try to resynchronize to the "best" downstream channel by starting from channel the highest priority level field and continuing down the priority levels until it achieves synchronization.

Optionally, initial downstream channel acquisition will be facilitated by configuring one or more of the downstream channels with QPSK, BPSK or other modulation and letting the wireless modems search initially for this type of channel. This type of channel may be used as a control channel, therefore allowing for multiple control channels. After this channel is established and a DCD message is received on this channel, the wireless modem can select the "best" downstream channel according to the above-described procedure.

Faster downstream acquisition can also be facilitated by preferably scanning only MMDS center frequencies.

All downstream channels within the MAC domain, which is also preferred to be the sector of the modem, will carry Upstream Channel Descriptors (UCD) messages with respect to all the upstream channels within the MAC domain. UCD messages with respect to upstream channels that do not belong to the MAC domain will not be transmitted on the downstream channels that belong to the MAC domain. Alternatively, in the case of a downstream channel that is used within more than a single MAC domain, each UCD message will have a MAC domain id field. This field as well as a priority field will be added to the standard DOCSIS UCD message structure. The priority field will be used by the wireless hub to allow prioritization of channels on a dynamic basis, considering criteria such as load balancing.

The presently preferred Upstream Channel Descriptor (UCD) message is altered from the UCD message specified in the DOCSIS specification by changing the TLV as described in Table 7 below:

Name	Type (1 byte)	Length (1 byte)	Value (Variable length)
Sector	129		Identifier of the geographic sector which can use these downstream channels. This parameter may appear more than once, if the same upstream channel may be used by more than one sector.
Number of channels	130	1	Number of upstream channels that are available for this sector
Criteria for switching channels	131	Operator Defined	Channel attenuation, occurrence of unacknowledged transmissions, etc.
Priority for a new CPE IDU	132	1	Priority to be used by a new modem – Lowest number is higher priority.
Priority for changing channel	133	1	Priority to be used if the current channel has poor conditions - Lowest number is higher priority.
Cell ID	136	1	Identifier of the Cell where this message is transmitted. The described upstream channel should be used on this cell.

**Table 7 – Additional TLV parameters for Modified UCD message**

The priority value is calculated dynamically by the wireless hub IDU. For each channel, the available bit rate (without PHY overhead) should be divided by the estimated number of active users on this channel, plus one. The channel with the highest result will get priority 1, the next 2, etc. In this scenario, the same priority will be used for both new modem initialization or for changing

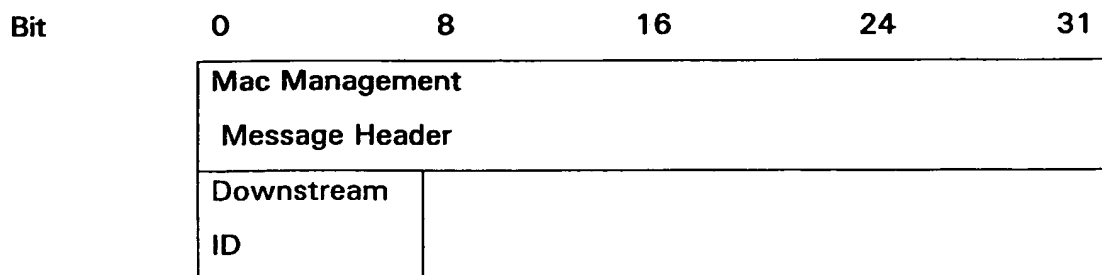
upstream channels for wireless modems already in communication with the WMTS.

Once a modem acquires a downstream channel, the modem will capture UCD messages with respect to all the upstream channels that belong to the sector. The modem will then select the "best" channel to range according the highest priority channel on which it is capable of completing a ranging transaction.

The above procedure will be repeated until the modem has successfully ranged and established IP connectivity with the wireless hub.

It is also possible for the modem to continuously monitor the DCD messages, which are periodically transmitted, and switch to another, more robust channel if the performance on the current channel is not acceptable. The downstream channel switching protocol and parameters are determined in the same way as described above with respect to selection of a downstream channel at initialization. However, the downstream channel changing protocols can also be different than that at initialization, since the wireless hub already has information regarding the communication capabilities for one or more channels of modem with which it is in communication.

When the wireless hub determines that the wireless modem should switch to another downstream channel it transmits a downstream channel change request (DCC-REQ). The format of a DCC-REQ message is shown in Table 8 below:



**Table 8 - Downstream Channel Change Request**

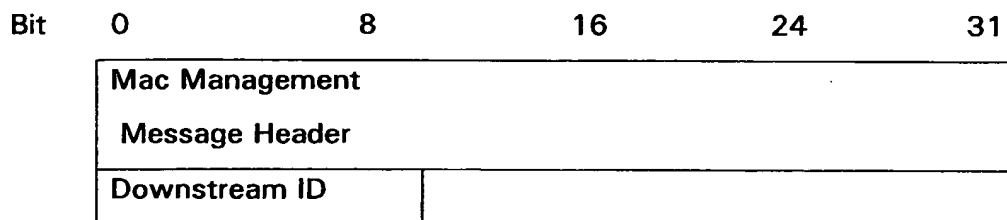
The parameters of the DCC-REQ message of Table 8 is defined in Table 9 below:

<b>Downstream Channel ID</b>	The identifier of the downstream channel to which the CPE IDU is to tune for downstream transmissions. This is an 8-bit field.
----------------------------------	--

5

**Table 9**

A Downstream Channel Change Response (DCC-RSP) is transmitted by the CPE IDU in response to a received Downstream Channel Change Request message to indicate that it has received the DCC-REQ and it is tuned to the new downstream channel. The DCC-RSP can be also be transmitted by the CPE IDU without a preceding DCC-REQ, if the CPE IDU switches a downstream channel, due to high signal to noise ratios, low received power, high error rates, or other reception problems. The format of a DCC-RSP message is shown in Table 10 below.



15

**Table 10 - Downstream Channel Change Response**

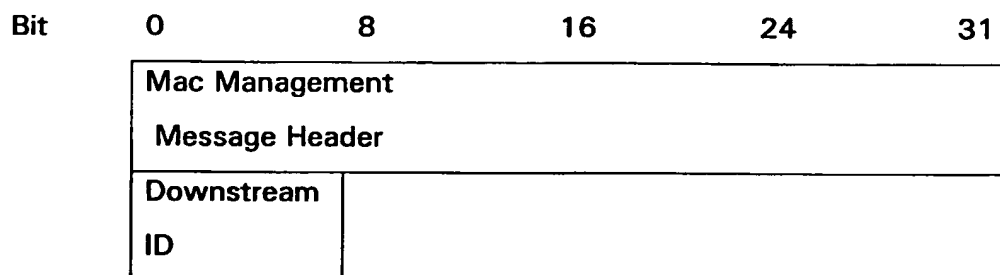
Parameters of Table 10 are described in Table 11 below:

<b>Downstream Channel ID</b>	The identifier of the downstream channel to which the CPE IDU is tuned for downstream transmissions. This is an 8-bit field.
----------------------------------	--

**Table 11**

The CPE IDU ignores a DCC-REQ message, by not responding with a DCC-RSP message, if it receives the DCC-REQ while it is in the process of performing a channel change. When a CPE IDU receives a DCC-REQ message requesting that it switch to a downstream channel that it is already using, the CPE IDU responds with a DCC-RSP message on that downstream channel indicating that it is already using the correct channel.

A Downstream Channel Change Acknowledgement (DCC-ACK) is transmitted by a BS IDU in response to a received Downstream Channel Change Response message to indicate that it has received the DCC-RSP. The format of a DCC-ACK message is shown in Table 12.



**Table 12 - Downstream Channel Change Acknowledgement**

Parameters of the DCC-ACK are shown below in Table 13:

<b>DownstreamChannel ID</b>	The identifier of the downstream channel to which the CPE IDU is tuned for downstream transmissions. This is an 8-bit field.
-----------------------------	--

**Table 13**

When the BS IDU receives a DCC-RSP message, regarding the current downstream channel of the CPE IDU, according to the BS IDU tables, the BS IDU MUST respond with a DCC-ACK message on that channel indicating that it is already using the correct channel.

The full procedure for changing channels is described in Section 6 of the DOCSIS RFI Specification version 1.1.

An Upstream Channel Change Response is transmitted by a BS IDU in response to a received Upstream Channel Change Response message to indicate that it has received the UCC-RSP. When a BS IDU receives a UCC-RSP message, regards the current upstream channel group of the CPE IDU, according to the BS IDU tables, the BS IDU MUST respond with a UCC-ACK message, indicating that it is already using the correct channel group.

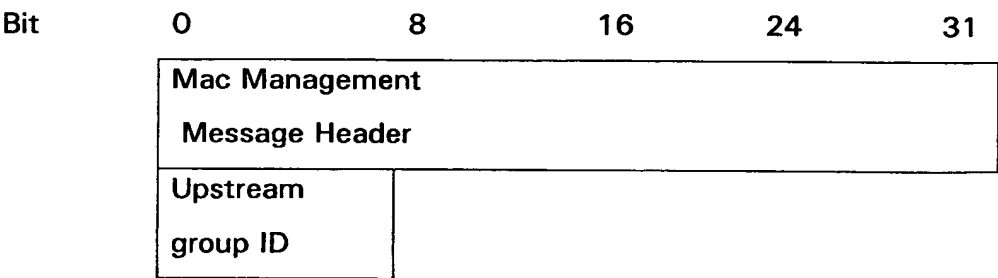


Table 14 - Upstream Channel Change Acknowledgement

Parameters of Table 14 are as follows:

<b>Downstream Channel ID</b>	The identifier of the downstream channel to which the CPE IDU is tuned for downstream transmissions. This is an 8-bit field.
------------------------------	--

Table 15

Acquisition of a downstream channel  
BS IDU requirements

The BS IDU should periodically transmit a Downstream Channel Descriptor (DCD) message. This message defines the available downstream channels. The BS IDU may be configured to send a single DCD message (on each channel), which describes all the available channels. Another option is to send a separate message for each downstream channel. The second alternative enables more

reliable reception, due to a shorter message length, on a poor channel. The DCD messages, for all the downstream channels that serve one downstream sector, should be transmitted on each of these downstream channels.

## 5 CPE IDU Requirements

### Initial connection

1. A CPE should first try to lock on any downstream channel to get an updated DCD message (See Fig. 3, step 300). The CPE evaluates channels according to the following order:

- 10 a. A control channel that may be optionally pre-configured locally or remotely to the CPE-IDU. A list of standard control channels may be optionally pre-configured into the wireless modem. In addition, the control channel identification may be changed during operation by one or more of the following methods: (i) locally, by a technician, (ii) remotely, by using the SNMP to transmit  
15 instructions during downstream communication, (iii) remotely, using a unidirectional service mode, such as described in U.S. Provisional Patent Application Serial No. 60/178,303, now U.S. Patent application \_\_, \_\_, \_\_, which is incorporated herein by reference, in its entirety, as an example; and (iv)  
20 remotely, in a DCD message transmitted during a previous operating session of the wireless modem. If the wireless modem cannot lock on a control channel or the specific implementation does not make use of control channels or the wireless modem has not configured with the control channels list, the CPE-IDU will skip this step.
- b. The last channel where the CPE has been connected in a previous  
25 session.
- c. The other channels which have been defined by DCD message in previous session.
- d. Scanning the entire available spectrum and automatically acquire the modulation and channel width.

30

2. After successful acquisition of the first downstream channel, as defined in DOCSIS, the CPE waits for a DCD message (step 310). If a non-zero sector ID is configured for the CPE, only DCD messages with this sector ID should be processed. If necessary, as indicated by the "Number of Channels" parameter in the DCD message, it continues to receive DCD messages until it has the parameters for all the channels.

The sector parameters of a wireless modem are preferably configured by one of the following methods: (i) locally, by a technician, (ii) remotely, by using the SNMP to transmit instructions during downstream communication, (iii) remotely, using a unidirectional service mode, and (iv) remotely, utilizing Sector Configuration List (SCL) messages which contain MAC addresses for the sectors in which the modem is capable of communicating.

If a CPE IDU is assigned manually or automatically a Sector ID of zero, it may use all the upstream and downstream channels, regardless of their defined sector.

3. If after a configured time out, (10 Seconds is a typical value), which starts when the CPE is synchronized to the downstream channel, as defined in 9.2.1 of the DOCSIS specifications, the CPE has not received all the DCD messages, it tries to lock on the channels defined by the received DCD messages (with sector limitation, if defined), following the priority order (step 320). These channels should then be used to receive the DCD messages for the remaining channels for which a DCD message was not received (step 340).

4. After receiving the parameters of all the available downstream channels for its sector, a CPE will try to lock on the downstream channels, according to the assigned priorities for a new CPE (step 350). The channel to be selected is the acceptable one with highest priority. The acceptable channel with highest C/N ratio is selected from channels with the same priority. Acceptable channel is a channel where the CPE can successfully complete the registration process. The following process achieves this selection:



The CPE MAY skip channels that it has failed to lock on during the previous steps. A CPE should start with the highest priority channels and proceed to lower priorities, until it successfully connects to a channel (receiving SYNC messages, as defined by DOCSIS). After successfully connecting to a channel, the CPE should continue to check all the channels with the same priority. The channel with highest C/N ratio from these channels, with the same priority, is selected and used to continue with upstream acquisition. If upstream acquisition, using this channel fails, the next downstream channel is used.

5. The CPE should establish a channel quality table (step 360). The table should include the following parameters for each channel:

a. Acquisition result – Success, one failure or two failures. Should be stored for each tested channel. Other channels should be marked as unknown.

b. Carrier to Noise ratio –Should be stored for each acquired channel.

c. MPEG frames error rate - The measurement period should be as defined in the DCD FER measurement period TLV parameter. The measurement can be terminated earlier if the error rate threshold is exceeded.

d. A channel status should be changed to unknown after T9 interval without being tuned to that channel.

#### Changing of a downstream channel

If the frame error rate on the current downstream channel is not acceptable, according to the "FER Threshold" TLV parameter in the DCD message, the CPE should switch a downstream channel (See Fig. 4, step 400).

It should use the information from the last successfully received DCD messages (step 410). The CPE should try to lock on the downstream channels, according to the assigned priorities for changing channel (steps 420/430). Channels that have been tried in the previous configured time period (10 minutes typical) should be skipped. When the CPE acquires successfully the new downstream channel, it should transmit a DCC-RSP message and wait for a DCC-ACK message from the BS (step 460). If a DCC-ACK is not received by the CPE

within configure timeout (2 seconds typical) it should retransmit DCC-RSP.

These retransmissions should be repeated after each period of this timeout until a DCC-ACK is received or a configured timeout (typically 30 seconds) has passed since the first DCC-RSP transmission. After that timeout the CPE should  
5 initiate an initial upstream channel acquisition with initial ranging and registration.

If the CPE cannot acquire a downstream channel after scanning all the channels (no "unknown" status channel), it should retry, by priority order, the previously failed channels ("One failure" status) (step 440). If the CPE still  
10 cannot acquire a downstream channel, it should select the channel with success indicator and best MPEG frame error rate (step 450). If all the channels are marked as "Two failures", the entire channels table should be initialized to "unknown" state and the CPE should restart initial acquisition starting from the highest priority channel, without skipping any previously tried channels (step  
15 470).

#### Acquisition of an upstream channel

##### BS IDU requirements

The BS IDU should periodically transmit an Upstream Channel Descriptor  
20 (UCD) message. This message is based on the UCD message that is defined DOCSIS, with extensions defined above. The UCD message, for each upstream channel, may be transmitted on all or some of the downstream channels.

Transmitting UCD messages on a subset of the downstream channels may be used to limit the upstream channel selection, based on the downstream channel  
25 selection.

## CPE IDU Requirements

### Initial connection

After acquiring a downstream channel, a CPE should acquire an upstream channel. The acquisition process is defined in DOCSIS, with the following changes:

The CPE should receive UCD messages for all the available upstream channels (see Fig. 5, step 500). This can be determined by the "number of channels" parameter in the UCD message.

The CPE should try the upstream channels according to the priorities for a new CPE (steps 510/520).

If the CPE has finished successfully ranging on an upstream channel, it should continue to test all the others upstream channels, if any, with the same priority (step 530). The one of these equal priority channels that requires the minimal transmitting power is selected (step 540).

The specific method of priorities allocation is depending on a specific vendor implementation. It is expected that channels that able to provide better service, based on modulation, symbol rate and current load will have higher priority. This way a CPE IDU will use the best channel it can use, choosing from channels with possibly different sectors, frequencies, and modulation scheme and symbol rate. Considering the current channels load when allocating priorities enables the BS IDU to balance the load between the channels.

### Changing of an upstream channel

Changing of an upstream channel may be desired for improvement of initial selection, load balancing, because of system and channels changes.

It is expected that the BS should initiate such changes, using the DOCSIS UCC-REQ and UCC-RSP messages. Algorithms that use these features are left for BS vendor specific implementations.

While the embodiments, applications and advantages of the present invention have been depicted and described, there are many more embodiments,

applications and advantages possible without deviating from the spirit of the inventive concepts described and depicted herein.

The present invention may be conveniently implemented using a conventional general purpose or a specialized digital computer or microprocessor  
5 programmed according to the teachings of the present disclosure, as will be apparent to those skilled in the computer art.

Appropriate software coding can readily be prepared by skilled programmers based on the teachings of the present disclosure, as will be apparent to those skilled in the software art. The invention may also be  
10 implemented by the preparation of application specific integrated circuits or by interconnecting an appropriate network of conventional component circuits, as will be readily apparent to those skilled in the art.

The present invention includes a computer program product which is a storage medium (media) having instructions stored thereon/in which can be used  
15 to control, or cause, a computer to perform any of the processes of the present invention. The storage medium can include, but is not limited to, any type of disk including floppy disks, mini disks (MD's), optical discs, DVD, CD-ROMs, micro-drive, and magneto-optical disks, ROMs, RAMs, EPROMs, EEPROMs, DRAMs, VRAMs, flash memory devices (including flash cards), magnetic or  
20 optical cards, nanosystems (including molecular memory ICs), RAID devices, remote data storage/archive/warehousing, or any type of media or device suitable for storing instructions and/or data.

Stored on any one of the computer readable medium (media), the present invention includes software for controlling both the hardware of the general  
25 purpose/specialized computer or microprocessor, and for enabling the computer or microprocessor to interact with a human user or other mechanism utilizing the results of the present invention. Such software may include, but is not limited to, device drivers, operating systems, and user applications. Ultimately, such computer readable media further includes software for performing the present  
30 invention, as described above.

Included in the programming (software) of the general/specialized computer or microprocessor are software modules for implementing the teachings of the present invention, including, but not limited to, preparing messages, scanning and acquisition of predefined channels, reading designation  
5 messages, interpreting channel priorities, changing channels, and the display, storage, or communication of results according to the processes of the present invention.

Obviously, numerous modifications and variations of the present invention are possible in light of the above teachings. It is therefore to be understood that  
10 within the scope of the appended claims, the invention may be practiced otherwise than as specifically described herein.

**WHAT IS CLAIMED IS:**

1. A method for selecting a channel for communication between two wireless devices, comprising:

- 5       synchronizing a wireless modem with a wireless hub on a downstream channel of a plurality of downstream channels by synchronizing the symbol timing, forward error correction framing, and recognition of a synchronization message at the wireless modem, the channel of the plurality of downstream channels being transmitted from the wireless hub to a plurality of wireless
- 10       modems including the wireless modem;
- receiving at the wireless modem on the downstream channel a message comprising information regarding the parameters for communicating over each of the plurality of downstream channels;
- determining a selected downstream channel of the plurality of
- 15       downstream channels for communication with the wireless hub; and
- resynchronizing the wireless modem with the wireless hub on the selected downstream channel of the plurality of downstream channels.

2. The method of Claim 1, further comprising:

- 20       receiving at the wireless modem on the selected downstream channel a message comprising information regarding priority levels for each of a plurality of upstream channels associated with the selected downstream channel;
- determining a selected upstream channel of the plurality of upstream channels for communication with the wireless hub based upon the priority levels
- 25       for each the plurality of upstream channels; and
- resynchronizing the wireless modem with the wireless hub on the selected upstream channel of the plurality of downstream channels.

3. The method of Claim 2 wherein the message comprising information
- 30       regarding parameters comprises an instruction that instructs the first wireless device to synchronize on the selected upstream channel.

4. The method of Claim 1 wherein the message comprising information regarding the parameters for communicating over each of the plurality of downstream channels comprises priority level information for each of the plurality of upstream channel, the step of determining a selected downstream channel of the plurality of downstream channels for communication with the wireless hub comprising selecting the selected channel based upon the priority level information.

5. The method of Claim 1 further comprising a step of receiving at the wireless modem on the downstream channel another message comprising information regarding parameters for communicating over each of a plurality of other downstream channels, wherein the step of determining a selected downstream channel comprises selecting the selected downstream channel from the plurality of downstream channels and the plurality of other downstream channels, and wherein the plurality of downstream channels and the plurality of other downstream channels correspond to different sectors of the wireless hub.

6. A method for selecting a channel for communication between two wireless devices, comprising:

receiving at a wireless modem on a downstream channel a message comprising information regarding the parameters for communicating over each of a plurality of upstream channels, the wireless modem already being synchronized with the downstream channel;

determining a selected upstream channel of the plurality of upstream channels for communication with the wireless hub; and

communicating from the wireless modem to the wireless hub on the selected upstream channel of the plurality of upstream channels.

7. The method of Claim 6 wherein the message comprising information regarding parameters comprises an instruction that instructs the wireless modem to communicate on the selected upstream channel.

5           8. A method for communicating between two wireless devices in a communication system, comprising:

          receiving at a first wireless device on a downstream channel a message comprising information regarding parameters for communicating over each of a plurality of downstream channels, the first wireless device already being

10       synchronized with the downstream channel;

          synchronizing the first wireless device on a selected downstream channel of the plurality of downstream channels for receiving communication from the second wireless device; and

15       receiving communication from the second wireless device to the first wireless device on the selected upstream channel of the plurality of upstream channels.

          9. The method of Claim 8 wherein the message comprising information regarding parameters comprises an instruction that instructs the first wireless  
20       device to synchronize on the selected downstream channel.

          10. The method of Claim 8 further comprising a step of selecting, at the first wireless device, to synchronize on the selected downstream channel based upon priority information for communicating over each of a plurality of  
25       downstream channels.

          11. The method of Claim 8 wherein the downstream channel is a control channel not being one of the plurality of downstream channels.



12. The method of Claim 8 wherein the downstream channel is one of the plurality of downstream channels and the first wireless device communicating with the downstream channel immediately after initialization.

5        13. The method of Claim 12 wherein the downstream channel has a lowest information rate of the plurality of downstream channels.

14. The method of Claim 8, further comprising:

10        receiving at the first wireless device on the selected downstream channel a message comprising information regarding priority levels for each of a plurality of upstream channels associated with the first wireless device;

          determining a selected upstream channel of the plurality of upstream channels for communication with the second wireless device based upon the priority levels for each the plurality of upstream channels; and

15        re-synchronizing the wireless modem with the wireless hub on the selected upstream channel of the plurality of downstream channels.

15. The method of Claim 14 wherein the message comprising information regarding priority levels for each of the upstream channels comprises  
20        an instruction that instructs the first wireless device to synchronize on the selected upstream channel.

16. The method of Claim 8 further comprising a step of receiving at the first wireless device on the downstream channel another message comprising  
25        information regarding parameters for communicating over each of a plurality of other downstream channels, wherein the step of synchronizing the first wireless device on a selected downstream channel comprises selecting the selected downstream channel from the plurality of downstream channels and the plurality of other downstream channels, and wherein the plurality of downstream  
30        channels and the plurality of other downstream channels correspond to different sectors of the second wireless device.

17. A wireless communication system, comprising:

a wireless hub configured to send downstream communications on at least one of a set of predefined downstream channels and receive upstream

5 communications on at least one of a set of predefined upstream channels;

at least one wireless modem configured to receive said downstream communications, and send said upstream communications;

wherein said wireless modem comprises,

10 an acquisition unit configured to acquire a predefined downstream channel transmitted by said hub and read DCD messages describing available downstream channels,

a scanning unit configured to scan the available downstream channels, and

15 a selection unit configured to select the best available downstream channel.

18. The system according to Claim 17, wherein said wireless modem further comprises a channel quality unit configured to build a list of available channels indicating a quality of reception on each channel.

20

19. The system according to Claim 17, further comprising:

a channel change unit having,

a frame error rate indicator configured to identify when a frame error rate of a current downstream channel is unacceptable, and

25 a re-locking mechanism configured to acquire a new downstream channel.

20. The system according to Claim 19, wherein:

said re-locking mechanism performs a re-locking method, comprising the steps of,

30 scanning downstream channels transmitted DCD messages from said hub in an order based on priority and attempting to lock onto the channels scanned;

re-scanning each of said downstream channels if none was locked onto;  
selecting a channel based on an alternate criteria if none of said scanning  
and re-scanning steps resulted in a channel lock, and attempting to lock onto the  
selected channel; and

5 re-initializing all channel information and re-starting channel acquisition if  
said selecting step did not result in a channel lock.

21. The system according to Claim 20, wherein said alternate criteria is  
an MPEG frame error rate.

10

22. A communication device, comprising:  
a hub configured to send downstream communications on at least one of  
a set of predefined downstream channels and receive upstream communications  
on at least one of a set of predefined upstream channels;

15

wherein:  
said hub includes a DCD message generator that constructs at least one  
DCD message sent on said downstream channels; and  
said at least one DCD message defines all downstream channels utilized  
by said hub.

20

23. A modem unit for use in a communication system, comprising:  
a reception unit configured to receive downstream communications on a  
current downstream channel; and  
a downstream channel selection unit to read DCD messages received by  
said reception unit and select a best available channel as said current  
25 downstream channel from priorities contained in said DCD messages.

24. The modem unit according to Claim 23, further comprising:  
a transmission unit configured to transmit data from said device on a  
30 current upstream channel; and

an upstream channel selection unit configured to select the current upstream channel based on priorities of upstream channels described in an UCD message received by said reception unit.

5           25. The modem unit according to Claim 24, wherein said upstream channel selection unit and said downstream channel selection unit include a change channel mechanism configured to change either the current upstream channel or current downstream channel based on the priorities contained in the respective DCD and UCD messages.

10

26. The modem unit according to Claim 25, wherein said upstream channel selection unit and said downstream channel selection unit use different priorities for selecting channels for initial communications and for changing a current upstream or downstream channel.

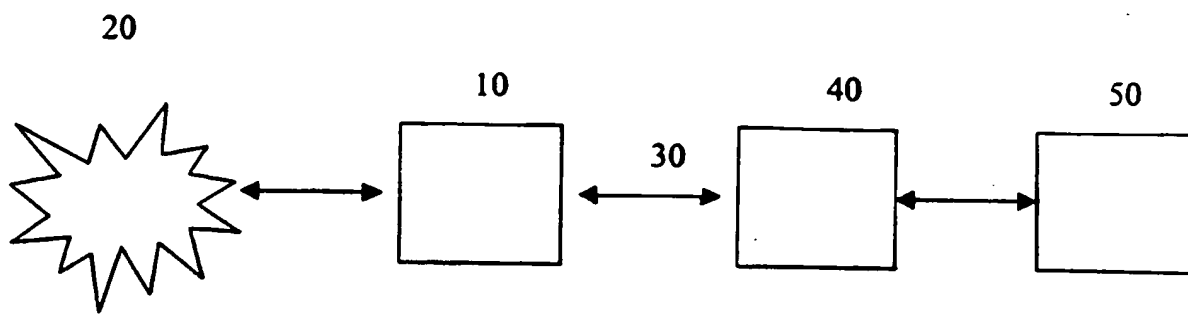
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27. The modem unit according to Claim 23, wherein said modem is pre-configured to use a particular cell or sector of a wireless hub to acquire and receive said downstream communications.

20

28. The modem according to Claim 24, wherein said downstream channel selection unit selects the current downstream channel of a sector based on a highest amount of power of available channels, and said upstream channel selector selects the current upstream channel based on a same sector of the selected downstream channel.

25

**Fig 1:**

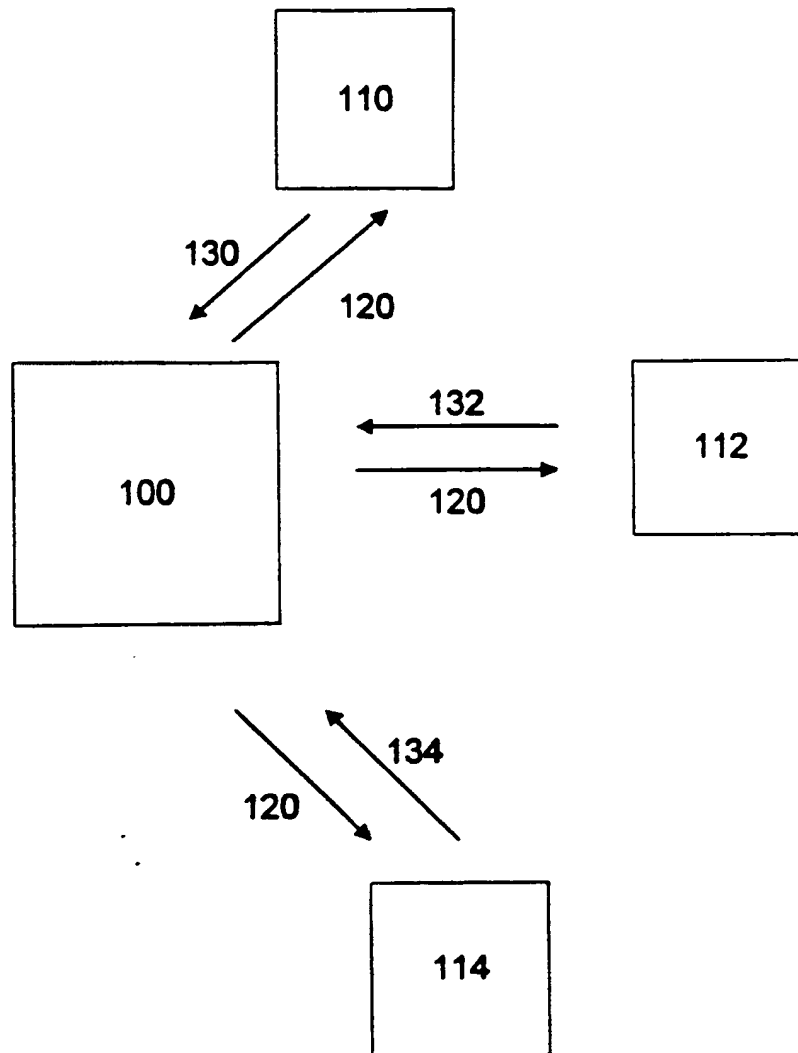
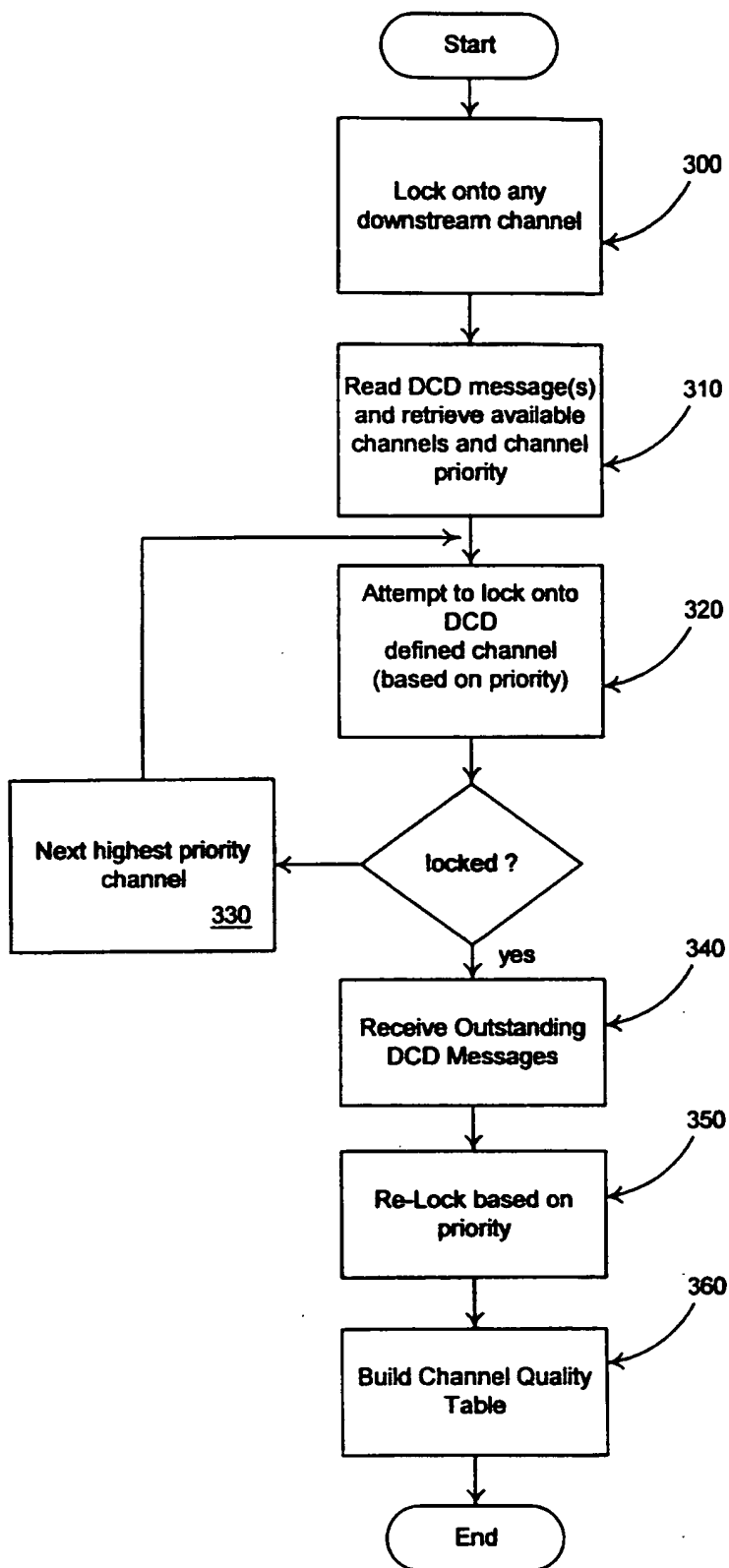
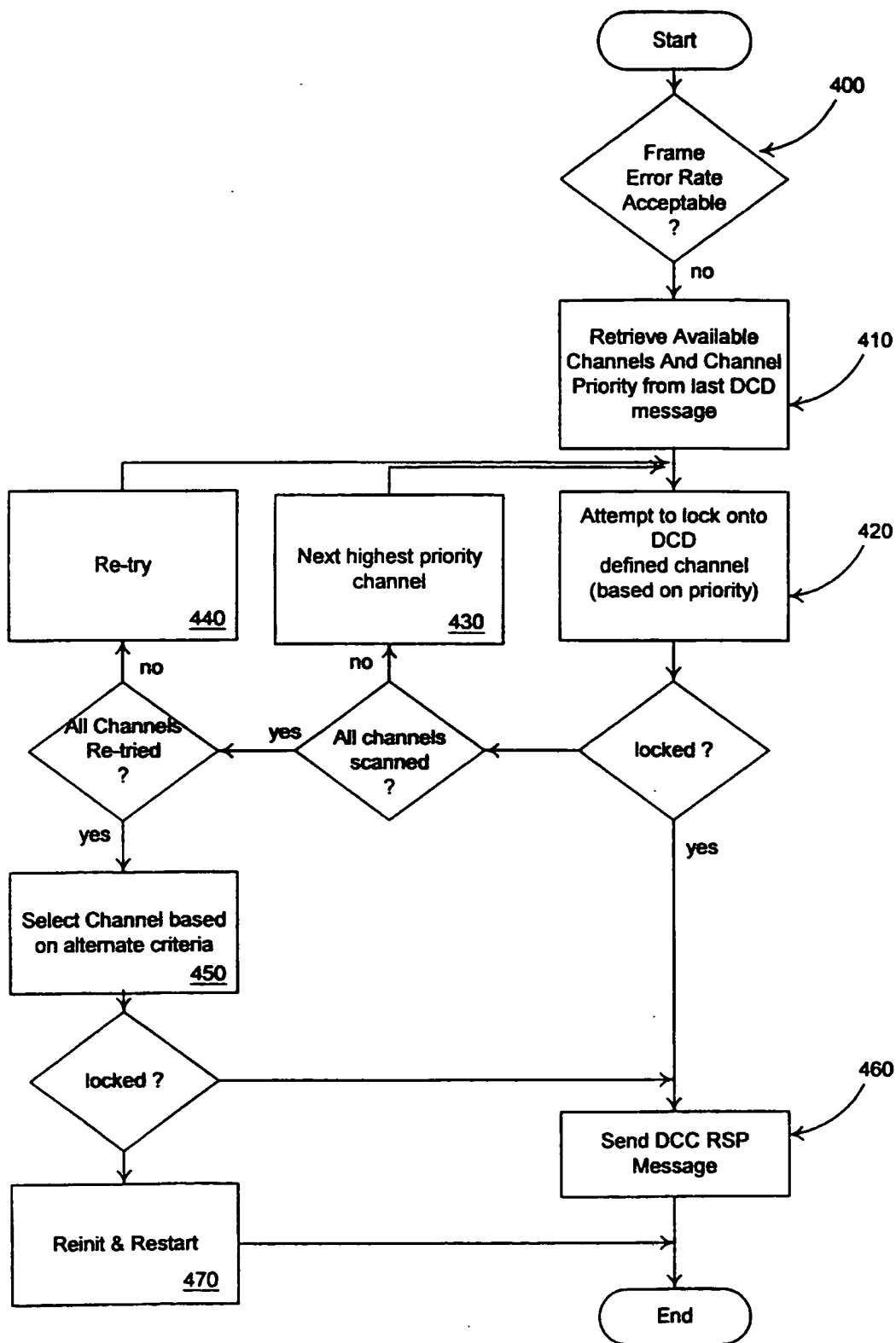
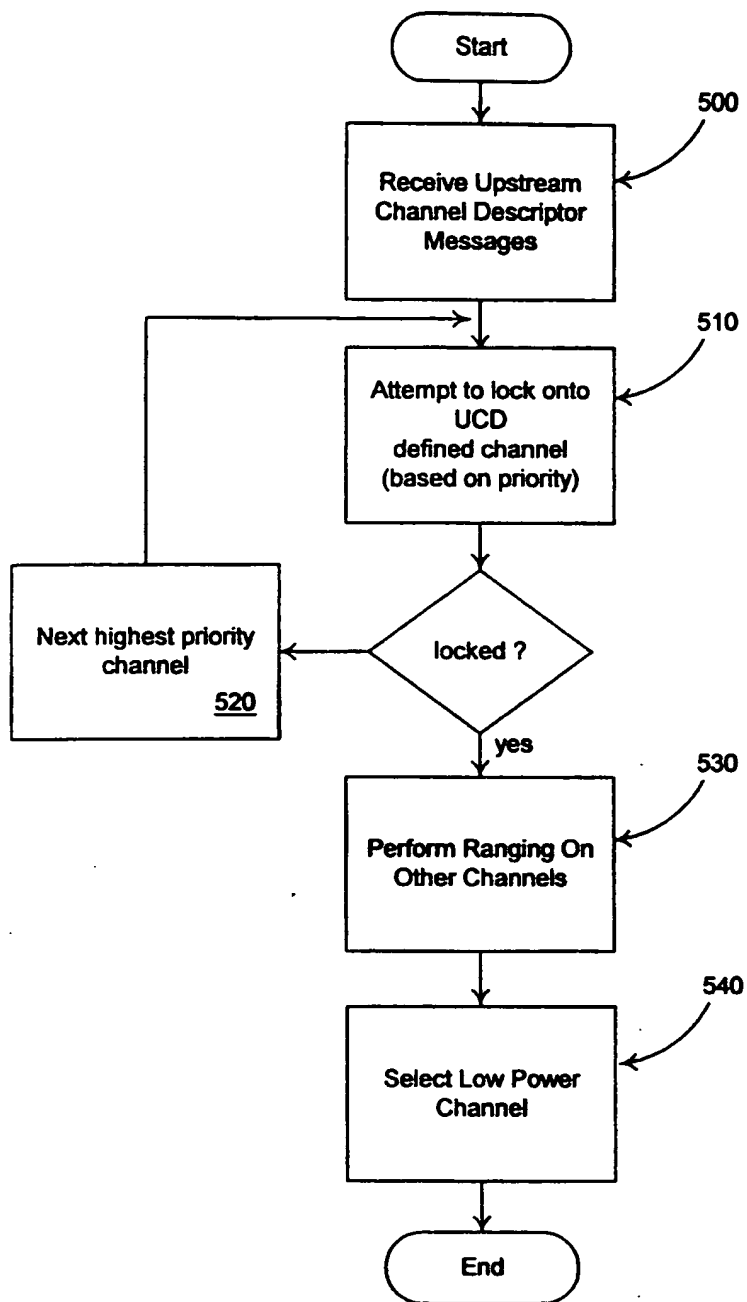


FIG. 2

**Fig. 3**

**Fig. 4**



**Fig. 5**

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/02875

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :H04B 1/38

US CL :375/222; 370/329; 455/502

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 375/219, 222, 259, 377; 370/329, 346, 350, 437, 449, 450 ; 455/502, 509

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EAST (downstream, upstream, select channel, and modem)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,272,700 A (HANSEN et al) 21 DECEMBER 1993, col. 3, lines 23-32 and col. 4, lines 16-57.	1-28
A	US 5,557,612 A (BINGHAM) 17 SEPTEMBER 1996, col. 5, lines 22-31 and col. 7, lines 40-45 and 62-67.	1-28
A	US 5,809,427 A (PERREAULT et al) 15 SEPTEMBER 1998, col. 9, lines 1-34 and col. 12, line 17 to col. 13, line 5.	1-28
A	US 5,818,825 A (CORRIGAN et al) 06 OCTOBER 1998, col. 3, lines 26-40.	1-28
A	US 5,862,451 A (GRAU et al) 19 JANUARY 1999, col. 2, line 65 to col. 3, line 20.	1-28

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T*	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
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Date of the actual completion of the international search

08 APRIL 2001

Date of mailing of the international search report

30 APR 2001

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Facsimile No. (703) 305-3230

Authorized officer

YOUNG T. TSE

Telephone No. (703) 305-4736

**INTERNATIONAL SEARCH REPORT****International application No.**  
**PCT/US01/02875****C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT**

<b>Category*</b>	<b>Citation of document, with indication, where appropriate, of the relevant passages</b>	<b>Relevant to claim No.</b>
<b>A</b>	<b>US 5,896,414 A (MEYER et al) 20 APRIL 1999, col. 2, line 61 to col. 3, line 23.</b>	<b>1-28</b>